

HK Stock 香港証券 (Effective Date 生效日期 : 2023-11-22)			
Items 項目	Charges 收費		Remarks 备注
Trading Service 交易服務			
Commission 經紀佣金	0.25%	on gross amount 成交金額	minimum: HKD100 最低收費港幣 100 元
Stamp Duty 印花稅	0.10%	on gross amount 成交金額	(round up to nearest dollar) 不足 1 元亦作 1 元計
SFC Transaction Levy 证监会交易徵費	0.0027%	on gross amount 成交金額	
HKEx Trading Fee 交易費 (港交所)	0.00565%	on gross amount 成交金額	
AFRC Transaction Levy 会財局交易徵費	0.00015%	on gross amount 成交金額	
CCASS Settlement Fee 結算所交收費	0.002%	on gross amount 成交金額	minimum: HKD2, maximum: HKD100 最低收費港幣 2 元, 最高收費港幣 100 元
Custodian & Settlement Service 托管交收服務			
Transfer Deed 轉手紙厘印費	HKD5.00	for each transfer deed 每張轉手紙港幣 5 元	
Physical Stock Deposit Fee 存入實物股票費	Nil 无		
Express Registration Fee 特快過戶費	HKD200.00	per instruction 每項指示	plus fees charged by Registrar 另加過戶處收費
Physical Stock Withdrawal Fee (from CCASS) 提取實物股票費 (于香港中央結算提取)	For Listed Securities, HKD5.00 per board lot (minimum: HKD100) 上市証券, 每手為港幣 5.00 (最低收費港幣 100 元)		
	For Mandatory distribution of unlisted securities, HKD50.00 per instruction 強制派送的 non-listed 証券, 每項指示為港幣 50 元		
	For Optional distribution of unlisted securities, HKD500.00 per instruction 非強制派送的 non-listed 証券, 每項指示為港幣 500 元		
Stock Deposit via CCASS Fee (SI/ISI) 交收指示費/投資者交收指示費 (存入股票)	Waive 豁免		
Stock Withdrawal via CCASS Fee (SI/ISI) 交收指示費/投資者交收指示費 (轉出股票)	0.01% market value (based on previous closing price) per stock, Minimum HKD50.00, Max HKD500.00 每只股票貨值 (以上日收市價計算) 之 0.01%, 最低收費港幣 50 元, 最高收費港幣 500 元		
Custody Fee 股票托管費 (存倉費)	Waive 豁免		
Default Fee on Overdue Short Position 延誤交付股份之失責罰金	0.75% of the market value (based upon the closing price quoted on the Exchange on the due date) of the corresponding short stock position (applicable to compulsory or non-compulsory buy-in), Max HKD150,000 待交付股份數額市值 (以到期交收日聯交所的收市價計算) 之 0.75% (強制性及非強制性補購同時適用), 最高港幣 150,000 元		
Bought & Sold Notes Handling Fee 買賣協議手續費	Negotiable 商議		
Nominee Services & Corporate Actions 代理人服務及企業行動			
Scrip Fee 登記過戶費	HKD1.50	per board lot 每手	
Cash /Scrip Dividends/Coupon Collection Fee 代收現金股息/以股代息/債息費	0.5%	of cash dividend/coupon collected 股息/債息額	minimum: HKD20 / USD 2.60 / CNY 20, maximum: HKD30,000 / USD 3,850 / CNY 30,000 最低收費港幣 20 元 / 美元 2.60 元 / 人民幣 20 元, 最高收費港幣 30,000 元 / 美元 3,850 元 / 人民幣 30,000 元, The Coupon Collection Fee is only applicable to the

HK Stock 香港证券 (Effective Date 生效日期: 2023-11-22)			
Items 项目	Charges 收费		Remarks 备注
			bond custodied in HKEX 代收债息费只适用于托管于香港交易所之债券
Bonus Shares/Warrants Fee 代收红股/红利认股证费	HKD1.00	per board lot 每手	minimum: HKD20 最低收费港币 20 元
Distribution of unlisted shares Fee 派送非上市股份费	HKD1.00	per board lot 每手	minimum: HKD20, maximum HKD100 最低收费港币 20 元, 最高收费港币 100 元
Share Consolidation / Share Splitting Fee 股份合并 / 股份分拆费	Nil 无		
Rights Subscriptions/Warrants Conversions or Open/Preferential Offers, Cash Offers and All Other Corporate Actions Fee 供股/行使认股证或优先认股证·现金收讲及其他公司行动费	HKD0.80 per board lot. In addition, HKD50 per instruction 每只股票每手港币 0.8 元, 另加每项指示港币 50 元		
Multi-counter Transfer Instruction Fee 多柜台转换指示收费	HKD50.00	per stock 每只股票	
Application for Scrip Fee Concession 登记过户费优惠申请	HKD1,000.00	per application 每宗	CCASS Charges (Applicable for Scrip in only) 中央结算所费用 (只适用于转入股票)
	HKD1,000.00	per application 每宗	Handling Fees 手续费
Handling Fee for Unclaimed Entitlements 处理追收未领取权益费	HKD500.00	per claim and per stock (regardless of the result) plus additional fees for relevant nominee services 以每只股票计·每项追收股息·收费为港币 500 元·及其他有关之费用(不论追收成功与否均需缴付)	

Shanghai-HK Shenzhen-HK Stock Connect (Northbound Trading) 沪深股通 (北向交易) (Effective Date 生效日期: 2023-8-28)			
Items 项目	Charges 收费		Remarks 备注
Trading Service – Securities 交易服务 – 证券			
Commission 经纪佣金	0.25%	on gross amount 成交金额	minimum: CNY100 最低收费人民币 100 元
Handling Fee 经手费	0.00341%	on gross amount of a transaction 成交金额	
Securities Management Fee 证管费	0.002%	on gross amount of a transaction 成交金额	
Transfer Fee 过户费	0.003%	0.001% on gross amount of a transaction 成交金额的 0.001%	Payable under CSDC's Rule 由中国结算收取
		0.002% on gross amount of a transaction 成交金额的 0.002%	Payable under HKSCC's Rule 由香港中央结算收取
Stamp Duty 印花稅	0.05%	on gross amount of a transaction 成交金额	for Sellers only 只适用于卖方
Portfolio Fee 组合费	Waive 豁免		
Trading Service – ETF 交易服务 – 交易所买卖基金			
Commission 经纪佣金	0.25%	on gross amount 成交金额	minimum: CNY100 最低收费人民币 100 元
Handling Fee 经手费	0.004%	of the consideration of a transaction 成交金额	
Securities Management Fee	Waive		

Shanghai-HK Shenzhen-HK Stock Connect (Northbound Trading) 沪深股通 (北向交易) (Effective Date 生效日期: 2023-8-28)

Items 项目	Charges 收费		Remarks 备注
证管费	豁免		
Transfer Fee 过户费	0.002%	waive 豁免	Payable under CSDC's Rule 由中国结算收取
		0.002% on gross amount of a transaction 成交金额的 0.002%	Payable under HKSCC's Rule 由香港中央结算收取
Stamp Duty 印花税	Waive 豁免		
Portfolio Fee 组合费	Waive 豁免		

Custodian & Settlement Service 托管交收服务

Settlement Instruction Fee (Stock Deliver Out) 交收指示费 (转出股票)	0.01% market value per stock based on previous closing price, minimum: HKD50, maximum: HKD500. 每只股票上日收市总值的 0.01%, 最低港币 50 元, 最高港币 500 元。		
Custody Fee 股票托管费 (仓费)	Waive 豁免		
Default Fee on Overdue Short Position 延误交付股份之失责罚金	1.25% of the market value (based upon the closing price quoted on the Exchange on the due date) of the corresponding short stock position (applicable to compulsory or non-compulsory buy-in), Max HKD250,000 待交付股份数额市值 (以到期交收日联交所的收市价计算) 之 1.25% (强制性及非强制性补购同时适用), 最高港币 250,000 元		

Nominee Services & Corporate Actions 代理人服务及企业行动

Cash /Scrip Dividends/Coupon Collection Fee 处理/代收现金股息/股票股息费	0.5%	of cash dividend collected 股息/红利额	minimum: CNY20 最低收费人民币 20 元
Rights Subscriptions/Warrants Conversions or Open/Preferential Offers, Cash Offers and All Other Corporate Actions Fee 供股/行使认股证或优先认股证·现金收讲及其他公司行动费	CNY0.8	per board lot 每手	In addition, CNY50 per instruction 另加每项指示人民币 50 元

United States 美国 (Effective Date 生效日期: 2024-1-2)

Items 项目	Charges 收费		Remarks 备注
Trading Service 交易服务			
Commission 经纪佣金	0.25%	on gross amount 成交金额	minimum: USD25 最低收费 25 美元
Trading Activity Fee 交易活动费	USD0.000166	per share 每股	minimum: USD0.01 and maximum: USD8.30, (for Sellers only) 最低收费 0.01 美元和最高收费 8.30 美元, (只适用于卖方)
SEC Fee 证监会费	0.0008%	on gross amount 成交金额	(for Sellers only) (只适用于卖方)
Non DTC Eligible Stock Clearing Fee 非 DTC 结算交易费	USD75	per transaction 每宗	Based on different stocks and total shares traded, the chargeable fees may exceed the order consideration. 按不同股票种类计算·有关费用可能超过交易指示的金额

Custodian & Settlement Service 托管交收服务

Electronic Deposit Fee 电子存入费	USD50	per transaction 每宗	
Electronic Withdrawal Fee 电子提出费	USD50	per transaction 每宗	
Physical Stock Deposit/Physical Stock Withdrawal Fee	** Service not provided ** ** 不提供此服务 **		

United States 美国 (Effective Date 生效日期: 2024-1-2)			
Items 项目	Charges 收费		Remarks 备注
存入实物股票费/提取实物股票费			
ADR Depository Fee 预托证券存管费	USD0.01-0.05	per share 每股	Per share per book close collected by the ADR issuers 于截止过户日收取(代发行商收取)
Nominee Services & Corporate Actions 代理人服务及企业行动			
Dividend Collection Fee 股息代收费	0.3%	on gross dividend 股息	minimum: USD10 最低收费 10 美元
Dividend Tax 股息税	30%	on gross dividend 股息	Deduct upon receiving the dividend 接收股息时扣除

Bond 债券 (Effective Date 生效日期: 2022-6-29)			
Items 项目	Charges 收费		Remarks 备注
Commission 经纪佣金	0.25%	on gross amount 成交金额	
Minimum purchase amount 最少买入债券金额	100,000 - 200,000 US dollars, depending on the bond requirement 10 万 - 20 万美元, 视乎不同债券而定		
Deposit Fee 存入费	HKD500	per transaction 每宗	
Withdrawal Fee 提出费	HKD500	per transaction 每宗	
Coupon Interest Collection Fee 代收债息费	0.5%	of bond interest 债券行息	minimum: USD25 / the equivalent of USD25 最低收费 25 美元, 或等值 25 美元的其他货币
Corporate Action / Redemption Fee 公司行动 / 债券赎回费	HKD500 / USD65 / CNY500	per transaction 每宗	
Convertible Bonds Exercise Fee 可换股债券行使费	1%	on market value or nominal value of Convertible Bonds 以可换股债券市值或面值计算	In addition, HKD150 per board lot 另加转换股份每手 HKD150
Custody Fee 托管费	0.05% p.a. on nominal value (calculated on a daily basis in equivalent USD) 以每日持有债券票面值按年率 0.05%(折合美元计算)		Min USD50 per month per account 最低美元 50 元 (每户每月)

ETF / Other Fund 交易所买卖基金/其他基金 (Effective Date 生效日期: 2020-5-6)			
Items 项目	Charges 收费		Remarks 备注
Creation/Subsription Fee 认购费/申购费	Negotiable 商议		Plus other one-off fees incurred actually from creation / subscription 另加其他认购/申购实际产生的一次性综合费用
Redemption Fee 赎回费	Negotiable 商议		Plus other one-off fees incurred actually from redemption 另加其他赎回实际产生的一次性综合费用
Switching Fee 转换费	Negotiable 商议		Applicable to fund switching of the same fund company 适用于相同基金公司之基金转换

Other services 其他服务 (Effective Date 生效日期: 2023-6-15)			
Items 项目	Charges 收费		Remarks 备注
Market Data Related Charges for HK Stock 证券资讯服务			
Internet Trading Platform Fee 网上交易平台费	Waive 豁免		
TSCI HK Stocks Quotes Fee 捷利港股行情费	HKD380.00	per month 每月	
TSCI HK And US Stocks Quotes Fee	HKD460.00	per month	

Other services 其他服务 (Effective Date 生效日期: 2023-6-15)			
Items 项目	Charges 收费		Remarks 备注
捷利港股及美股行情费		每月	
TSCI HK Stocks Quotes Fee (Mainland) 捷利港股行情费 (内地版)	HKD210.00	per month 每月	For use In Mainland only 只限中国境内使用
TSCI HK And US Stocks Quotes Fee (Mainland) 捷利港股及美股行情费 (内地版)	HKD290.00	per month 每月	For use In Mainland only 只限中国境内使用
Other Fees 其他收费			
Overdue Settlement Charge for Cash Clients' Transactions 现金帐户过期交收利息	Base rate + 6% p.a. 基准年利率 + 6%		For Base rate, refer to our company website 有关基准年利率·请参考本公司网页
Margin Clients' Loan Interest 保证金帐户贷款利息	Base rate + 4% p.a. 基准年利率 + 4%		For Base rate, refer to our company website 有关基准年利率·请参考本公司网页
IPO Application Fee 新股认购费	HKD100.00	per application 每份申请	
Cancellation / Amendment Fee of IPO 任何更改或取消新股认购费	HKD100.00	per application 每份申请	
Currency Exchange Fee 兑换货币手续费	0.05%	per transaction 每宗	
FPS Withdrawal Handling Fee 转数快出金手续费	Waive 豁免		FPS is only applicable to amount up to HKD 1 million or RMB 0.75 million. 转数快只适用于 100 万港元或 75 万人民币或以下之提款。
CHATS Withdrawal Handling Fee 本地银行转账出金手续费	HKD100 / USD15 / CNY100 per case 每项 HKD100 / USD15 / CNY100		
T/T Withdrawal Handling Fee 海外电汇出金手续费	HKD400 / USD55 / CNY400 per case 每项 HKD400 / USD55 / CNY400		
Cheque Withdraw Handling Fee 本地银行支票出金手续费	Waive 豁免		
Returned Cheque Fee 退票手续费	HKD300.00	per cheque 每张	
Stop Payment Fee 终止付款手续费	HKD300.00	per case 每项	
Cheque Marked Good Fee 保付支票手续费	HKD250.00	per case 每项	
Request for Audit Confirmation Letter Fee 户口结余证明书手续费	HKD300.00	per certificate 每份证明书	
Handling Fee for non-trading A/C 不活跃账户手续费	Maximum HKD100.00 / USD15 / CNY100 per year 每年最高 HKD100.00 / USD15 / CNY100		Account has no trading activity for one year 账户一年内没有交易
Re-send copies of Account Statements Fee 重发历史帐户结单手续费	HKD100.00	per statement 每份	Within past 6 months: Free of charge Over 6 months: HKD100 per statement 6 个月之内: 免费 超过 6 个月: 每份结单港币 100 元
Account Statement Postage Fee 邮寄结单手续费	HKD30.00	per statement 每份	

Notes 备注:

Charges and Fees are subject to change without prior notice. For stock to be denominated in CNY, the fees are based on CNY. Base rate is subject to change from time to time. Please visit our company web site www.ssif.com.hk for more details.

收费及费用可随时更改而毋须预先通知客户。

人民币结算的股票·收费则以人民币作单位。

基准年利率由我司不时厘定·详情请参阅我司主网页 www.ssif.com.hk。